



US PTO Cust. No. 25280

09/934,374

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GAU / 1621
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TECH CENTER 600/2900
#2
05/10/02
AS

Application of:

Xiaodong E. Zhao et al.

Serial Number:

09/934,374

Filed:

08/21/01

Title:

LOW-COLOR RESORCINOL-BASED
ULTRAVIOLET ABSORBERS AND METHODS
OF MAKING THEREOF

Group Art Unit: 1621

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

Box DD

Commissioner for Patents
Washington, DC 20231

Sir:

Under the provisions of 37 C.F.R. §1.97, et seq., including specifically subsections (g) and (h) thereof,
Applicants hereby submit the following patent documents for entry within the above-referenced application for the
Examiner's consideration:

U. S. Patent 3,546,270 (Kirchmayr et al.)

U. S. Patent 3,634,320 (Wetzner et al.)

U. S. Patent 3,809,707 (Havinga et al.)

U. S. Patent 3,880,992 (Smolin et al.)

U. S. Patent 4,284,729 (Cross et al.)

U. S. Patent 4,496,757 (Dexter et al.)

U. S. Patent 4,617,374 (Pruett et al.)

U. S. Patent 4,619,990 (Elmasry)

U. S. Patent 4,732,570 (Baumgartner et al.)

U. S. Patent 4,845,188 (Weaver et al.)

U. S. Patent 4,920,169 (Avar)

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U. S. Patent 5,057,627 (Edwards)

U. S. Patent 5,057,491 (Weaver et al.)

U. S. Patent 5,442,086 (Krutak et al.)

U. S. Patent 5,543,083 (Sivik et al.)



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Case No. 5299

No fee should be necessary with this filing; however, should any fee be required, the Commissioner is hereby authorized to deduct the necessary amount from Deposit Account 04-0500.

April 25, 2002

Respectfully submitted,

William S. Parks

Attorney for Applicants

Registration No. 37,528

Telephone Number: (864) 503-1537

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on April 25, 2002, along with 15 documents and a postcard receipt.

William S. Parks, Attorney for Applicants

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| Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY. DOCKET NO. 5299 | | | | | SERIAL NO. 09/934,374 | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------|----------|--------------------|-------|---------------|--------------------------|--|
| | | APPLICANT Xiaodong E. Zhao et al. | | | | | | |
| | | FILING DATE 08/21/2001 | | | | | GROUP 1621 | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIALS | IDENTIFIER | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE | |
| | AA | 3,546,270 | 12/08/70 | Kirchmayr et al. | 260 | 465 | ----- | |
| | AB | 3,634,320 | 01/11/72 | Wetzner et al. | 260 | 45.85R | ----- | |
| | AC | 3,809,707 | 05/07/74 | Havinga et al. | 260 | 404 | ----- | |
| | AD | 3,880,992 | 04/29/75 | Smolin et al. | 424 | 60 | ----- | |
| | AE | 4,284,729 | 08/18/81 | Cross et al. | 521 | 158 | ----- | |
| | AF | 4,496,757 | 01/29/85 | Dexter et al. | 560 | 82 | ----- | |
| | AG | 4,617,374 | 10/14/86 | Pruett et al. | 528 | 288 | ----- | |
| | AH | 4,619,990 | 10/28/86 | Elmasry | 534 | 573 | ----- | |
| | AI | 4,732,570 | 03/22/88 | Baumgartner et al. | 8 | 506 | ----- | |
| | AJ | 4,845,188 | 07/04/89 | Weaver et al. | 528 | 272 | ----- | |
| | AK | 4,920,169 | 04/24/90 | Avar | 524 | 219 | ----- | |
| | AL | 5,057,627 | 10/15/91 | Edwards | 568 | 618 | ----- | |
| | AM | 5,057,491 | 12/24/91 | Weaver et al. | 560 | 45 | ----- | |
| | AN | 5,442,086 | 08/15/95 | Krutak et al. | 558 | 401 | ----- | |
| | AO | 5,543,083 | 08/06/96 | Sivik et al. | 252 | 403 | ----- | |
| | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIALS | IDENTIFIER | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION: CLASS NO | |
| | NONE | | | | | | | |
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| | | | | | | | | |
| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| None | | | | | | | | |
| Examiner <div style="position: absolute; right: 0; bottom: 0; text-align: right;"> Date Considered MAY 07 2002 TECH CENTER 1800/2900 </div> | | | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.